

• Least upper bound (Supremum) and Greatest lower bound (infimum)

Suppose  $S$  is an ordered set,  $E \subset S$ , and  $E$  is bounded above, meaning  $\exists \beta \in S \ni x \leq \beta$  for every  $x \in E$ . Suppose there exists an  $\alpha \in S$  with the following properties:

- (i)  $\alpha$  is an upper bound of  $E$ .
- (ii) If  $\gamma < \alpha$  then  $\gamma$  is not an upper bound of  $E$ .

Then  $\alpha$  is called the least upper bound of  $E$ . (From (ii), it is easy to see that such an  $\alpha$  is unique.)

Similarly if  $E \subset S$  is bounded below, meaning  $\exists \beta \in S \ni \beta \leq x \forall x \in E$ . Suppose  $\exists \alpha \in S$  with the following properties:

- (i)  $\alpha$  is a lower bound of  $E$ .
- (ii) If  $\beta > \alpha$ , then  $\beta$  is not a lower bound of  $E$ .

Then  $\alpha$  is called the greatest lower bound of  $E$ . (Again, such an  $\alpha$  is unique.)

Notation:  $\alpha = \sup(E)$  or  $\text{lub}(E)$  in the first case  
 $\alpha = \inf(E)$  or  $\text{glb}(E)$  in the second case.

Examples: ① Consider again

$A = \{p \in \mathbb{Q} : p^2 < 2\}$  and  $B = \{p \in \mathbb{Q} : p^2 > 2\}$ .

Then  $A$  is bounded above, and the upper bounds of  $A$  are precisely the elements of  $B$ . Since  $B$  contains no smallest member,  $A$  has no least upper bound in  $\mathbb{Q}$ .

Similarly,  $B$  has no greatest lower bound in  $\mathbb{Q}$ .

② Suppose  $\alpha = \sup(E)$  exists. Still,  $\alpha$  may or may not belong to  $E$ . For example, suppose

$$E_1 = \{r \in \mathbb{Q} : r < 0\} \quad \& \quad E_2 = \{r \in \mathbb{Q} : r \leq 0\}.$$

Then  $\sup(E_1) = 0 = \sup(E_2)$ . But  $0 \notin E_1$ ,  $0 \in E_2$ .

Another example: Suppose  $E = \{\frac{1}{n} : n \in \mathbb{N}\}$ . Then  $\sup(E) = 1$  &  $1 \in E$ . But  $\inf(E) = 0$ , &  $0 \notin E$ .

### LEAST UPPER BOUND PROPERTY

An ordered set  $S$  is said to have the least-upper-bound property if the following is true:

If  $E \subset S$ ,  $E \neq \emptyset$  and  $E$  is bounded above, then  $\sup(E)$  exists in  $S$ .

Thm. 1.1 Suppose  $S$  is an ordered set with the least-upper-bound property,  $B \subset S$ ,  $B \neq \emptyset$  and  $B$  is bounded below. Let  $L$  be the set of all lower bounds of  $B$ . Then  $\alpha = \sup(L)$  exists in  $S$ , and  $\alpha = \inf(B)$ .

In particular,  $\inf(B)$  exists in  $S$ .

## MA 509 - Real Analysis (Lecture 2)

Thm. 1.1 Suppose  $S$  is an ordered set with the least-upper-bound property,  $B \subset S$ ,  $B \neq \emptyset$  and  $B$  is bounded below. Let  $L$  be the set of all lower bounds of  $B$ . Then  $\alpha = \sup(L)$  exists in  $S$ , and  $\alpha = \inf(B)$ . In particular,  $\inf(B)$  exists in  $S$ .

Proof: Since  $B$  is bounded below (by hypothesis), we have  $L \neq \emptyset$ . Now  $L$  consists of those  $y \in S$  satisfying  $y \leq x$  for every  $x \in B$ . This implies that

every  $x \in B$  is an upper bound of  $L$  — (1)

Thus  $L$  is bounded above, and non-empty. So by the lub-property,  $L$  has a supremum in  $S$ , say  $\alpha$ , i.e.

$$\alpha = \sup(L).$$

If  $\gamma < \alpha$ , then  $\gamma$  is not an upper bound of  $L$  (for, otherwise,  $\gamma$  would not be the least upper bound of  $L$ ). Hence  $\gamma \notin B$  (from 1), that is,

if  $\gamma < \alpha$ , then  $\gamma \notin B$ .

The contrapositive of this statement is

if  $\gamma \in B$ , then  $\gamma \geq \alpha$ , which, in turn, implies that  $\alpha$  is a lower bound of  $B$  so that

$$\alpha \in L.$$

Now if  $\alpha < \beta$ , then  $\beta \notin L$  since  $\alpha$  is an upper bound of  $L$ .

Thus  $\alpha \in L$ , but  $\beta \notin L$  if  $\beta > \alpha$ . In other words,  $\alpha$  is a lower bound of  $B$ , but  $\beta$  is not if  $\beta > \alpha$ .

$$\Rightarrow \alpha = \inf(B).$$



# FIELDS

A field is a set  $F$  with two operations, addition & multiplication, which satisfy the following "field axioms".

## (A) Axioms for addition

- (A1) If  $x, y \in F$ , then  $x+y \in F$ .
- (A2) (Commutativity):  $x+y = y+x \quad \forall x, y \in F$ .
- (A3) (Associativity):  $(x+y)+z = x+(y+z) \quad \forall x, y, z \in F$ .
- (A4)  $\exists$  an element  $0 \in F \ni 0+x = x \quad \forall x \in F$ .
- (A5) Given  $x \in F$ ,  $\exists$  an element  $y \in F \ni x+y = 0$ .  
(This element  $y$  is generally denoted by  $-x$ )

## M) Axioms for multiplication

- (1) If  $x, y \in F$ , then  $xy \in F$
- (2) (Commutativity):  $xy = yx \quad \forall x, y \in F$
- (3) (Associativity):  $(xy)z = x(yz) \quad \forall x, y, z \in F$ .
- (4)  $\exists$  element  $1 \in F, 1 \neq 0 \ni 1x = x \quad \forall x \in F$ .
- (5) If  $x \in F$  and  $x \neq 0$ ,  $\exists y \in F \ni xy = 1$   
(This element  $y$  is generally denoted by  $1/x$ )

## D) Distributivity

$\forall x, y, z \in F$ , we have  
$$x(y+z) = xy + xz$$

Thm. 1.2 The axioms for additions imply

- (a) If  $x+y = x+z$ , then  $y=z$  (Cancellation Law)
- (b) If  $x+y = x$ , then  $y=0$  (Uniqueness of additive identity)
- (c) If  $x+y = 0$ , then  $y = -x$  (Uniqueness of additive inverse)
- (d)  $-(-x) = x$