

28/10/21

MA 633 - Partition Theory - Lec. 33ROGERS - RAMANUJAN IDENTITIES

$$\text{Thm. 49 (i)} \quad \sum_{n=0}^{\infty} \frac{q^{n^2}}{(q; q)_n} = \frac{1}{(q; q^5)_{\infty} (q^4; q^5)_{\infty}}$$

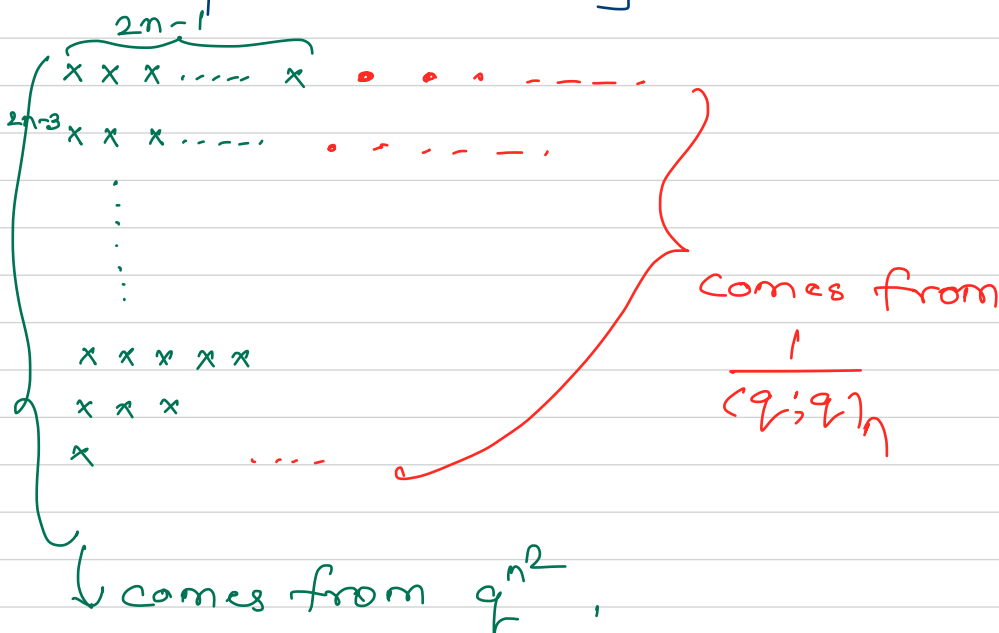
$$\text{ii)} \quad \sum_{n=0}^{\infty} \frac{q^{n^2+n}}{(q; q)_n} = \frac{1}{(q^2; q^5)_{\infty} (q^3; q^5)_{\infty}}$$

Euler's theorem: The number of partitions of an integer  $n$  into odd parts equals the number of partitions of  $n$  into parts which differ by at least one.

$$(-q; q)_{\infty} = \sum_{n=0}^{\infty} \frac{q^{\frac{n(n+1)}{2}}}{(q; q)_n} = \frac{1}{(q; q^2)_{\infty}}$$

Consider 
$$\sum_{n=0}^{\infty} \frac{q^{n^2}}{(q; q)_n} = \sum_{n=0}^{\infty} \frac{q^{1+3+5+\dots+2n-1}}{(q; q)_n}$$

This is a generating function for the number of partitions of a positive integer whose parts differ by at least 2.



Similarly 
$$\sum_{n=0}^{\infty} \frac{q^{n^2+n}}{(q; q)_n}$$
 is the gen. fn. of  
 ptns. of a positive integer into parts  
 differing by at least 2, and with no 1's.  
 (Hint: Write  $n^2+n = 2+4+6+\dots+(n-2)+2n$ .)

# Experimentally discovering the 1<sup>st</sup> Rogers - Ramanujan identity.

| $n$ | # of such partitions | partitions whose parts differ by at least 2 |
|-----|----------------------|---|
| 1   | 1                    | 1   |
| 2   | 1                    | 2   |
| 3   | 1                    | 3   |
| 4   | 2                    | 4, 3+1                                      |
| 5   | 2                    | 5, 4+1                                      |
| 6   | 3                    | 6, 5+1, 4+2                                 |
| 7   | 3                    | 7, 6+1, 5+2                                 |
| 8   | 4                    | 8, 7+1, 6+2, 5+3                            |
| 9   | 5                    | 9, 8+1, 7+2, 6+3, 5+3+1                     |
| 10  | 6                    | 10, 9+1, 8+2, 7+3, 6+4, 6+3+1               |
| 11  | 7                    | 11, 10+1, 9+2, 8+3, 7+4, 6+4+1, 7+3+1       |

Goal: For all  $n \in \mathbb{N}$ ,

Construct a set  $N$  s.t.

$$p(n | \text{parts in } N) = p(n | \text{parts differing by at least 2}). \quad (*)$$

- ① Start with  $N = \emptyset$ .
- ② Add 1 to  $N$  so that  $N = \{1\}$ , and thus holds for  $n=1$ .
- ③ 2 will not be added to  $N$  because 2 can be written as  $\underbrace{1+1}_{\text{parts in } N}$ .
- ④ 3 will not be added.

- ⑤ 4 will be added to  $N$ , for, otherwise with the existing elements in  $N$  we get only one partition of 4 ( $4 \cong 1+1+1+1$ ),  
 $N = \{1, 4\}$ .
- ⑥ 5 will not be added to  $N$ .
- ⑦ 6 will be added to  $N$ ,  
 $N = \{1, 4, 6\}$ .
- ⑧ 7 will not be added to  $N$ .
- ⑨ 8 will not be added to  $N$ .
- ⑩ 9 will be added to  $N$ ,  
 $N = \{1, 4, 6, 9\}$ .
- ⑪ 10 will not be added to  $N$ .
- ⑫ 11 will be added to  $N$ .

$$N = \{1, 4, 6, 9, 11\}.$$

Continuing like this, we will see that  $N$  consists of numbers  $\equiv 1, 4 \pmod{5}$ .

This experimentally leads us to the 1<sup>st</sup> Rogers-Ramanujan identity.

# Proofs of the Rogers-Ramanujan identities due to G.N. Watson

Consider the following  $8\phi_7$  to  $4\phi_3$  transf. of Watson,

$$8\phi_7 \left[ \begin{matrix} a, q\sqrt{a}, -q\sqrt{a}, b, c, d, e, q^{-N} \\ \sqrt{a}, -\sqrt{a}, \frac{aq}{b}, \frac{aq}{c}, \frac{aq}{d}, \frac{aq}{e}, aq^{N+1} \end{matrix} ; \frac{2N+2}{bcde} \right]$$

$$= \frac{(aq)_N (aq/d)_N}{(a/d)_N (aq/e)_N} 4\phi_3 \left[ \begin{matrix} \frac{aq}{bc}, d, e, q^{-N} \\ \frac{deq^{-N}}{a}, \frac{aq}{b}, \frac{aq}{c} \end{matrix} ; q \right]$$

We will use this to prove the following result:

Thm. 50 (Entry 7, Ch. 16, Ramanujan's 2<sup>nd</sup> notebook).

$$\sum_{n=0}^{\infty} \frac{(a)_n \left(\frac{d}{b}\right)_n \left(\frac{d}{c}\right)_n \left(\frac{d}{q}\right)_n (1-dq^{2n-1})}{(b)_n (c)_n \left(\frac{d}{a}\right)_n (q)_n \left(1-\frac{d}{q}\right)} \left(\frac{bc}{a}\right)^n q^{n(n-1)}$$

$$= \frac{(a)_{\infty} (d)_{\infty}}{(b)_{\infty} (c)_{\infty}} \sum_{n=0}^{\infty} \frac{(b/a)_n (c/a)_n}{(d/a)_n (q)_n} a^n.$$

Proof: We want to let  $N \rightarrow \infty$  & then  $c \rightarrow \infty$  in the  ${}_8\phi_7$  transf. of Watson.  
Observe that

$$\lim_{N \rightarrow \infty} \frac{(q^{-N})_n q^{Nn}}{(aq^{N+1})_n} = \frac{(-1)^n q^{\frac{n(n-1)}{2}}}{q^{n(n-1)/2}},$$

using

$$(wq^{-N})_n = (-w)^n q^{\frac{n(n-1)}{2} - nN} \left( \frac{q/w}{q} \right)_n \quad (*)$$

[Proof of (\*):

$$\begin{aligned} (wq^{-N})_n &= \left(1 - \frac{w}{q^N}\right) \left(1 - \frac{w}{q^{N-1}}\right) \cdots \left(1 - \frac{w}{q^{N-(n-1)}}\right) \\ &= \left(\frac{-w}{q^N}\right) \left(\frac{-w}{q^{N-1}}\right) \cdots \left(\frac{-w}{q^{N-(n-1)}}\right) \\ &\quad \times \left(1 - \frac{q^N}{w}\right) \left(1 - \frac{q^{N-1}}{w}\right) \cdots \left(1 - \frac{q^{N-(n-1)}}{w}\right) \\ &= \frac{(-w)^n}{q^{Nn - n(n-1)/2}} \frac{\left(\frac{q}{w}\right)_n \left(\frac{q}{w}\right)_{N-n}}{\left(\frac{q}{w}\right)_{N-n}} \end{aligned}$$

which proves (\*), ]