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MA 633 - Partition theory Lec. 37

Proof of (i): Let $S(q) = (-q; q)_\infty$
& $S_n(q) = (-q; q)_n$. Then we have to show that

$$2 \sum_{n=0}^{\infty} (S(q) - S_n(q)) - 2 S(q) D(q) = \sigma(q) \quad \text{--- (a)}$$

To that end,

LHS of (a)

$$= 2 \sum_{n=1}^{\infty} n q^n (-q; q)_{n-1} - 2 S(q) D(q) \quad \text{(by Thm. 47)}$$

$$= 2 \sum_{n=0}^{\infty} (n+1) q^{n+1} (-q)_n - 2 S(q) D(q)$$

$$= 2 \varepsilon \left(1 + \sum_{n=0}^{\infty} z^{n+1} q^{n+1} (-q)_n \right) + S(q)$$

$$+ 2 S(q) \varepsilon \left(\frac{(zq)_\infty}{(q)_\infty} \right)$$

\Rightarrow LHS of (a)

$$= 2 \varepsilon \left(\sum_{n=0}^{\infty} \frac{z^n q^{n(n+1)/2}}{(zq)_n} \right) + S(q) \quad \text{--- (I)}$$

$$+ 2 S(q) \varepsilon \left(\frac{(zq)_\infty}{(q)_\infty} \right)$$

because of the following identity which we will now prove.

$$\sum_{n=0}^{\infty} \frac{z^n q^{n(n+1)/2}}{(zq)_n} = 1 + \sum_{n=0}^{\infty} z^{n+1} q^{n+1} (-q)_n \rightarrow (\star)$$

Heine's transformation:

$${}_2\phi_1 \left(\begin{matrix} a & b \\ c \end{matrix}; z \right) = \frac{(b)_\infty (az)_\infty}{(c)_\infty (z)_\infty} {}_2\phi_1 \left(\begin{matrix} c/b & z \\ az & b \end{matrix} \right)$$

$$= \frac{(b)_\infty (az)_\infty}{(c)_\infty (z)_\infty} {}_2\phi_1 \left(\begin{matrix} z & c/b \\ az & b \end{matrix} \right)$$

$$= \frac{\cancel{(b)_\infty} \cancel{(az)_\infty}}{\cancel{(c)_\infty} \cancel{(z)_\infty}} \cdot \frac{(c/b)_\infty (bz)_\infty}{\cancel{(az)_\infty} \cancel{(b)_\infty}} {}_2\phi_1 \left(\begin{matrix} abz & b \\ c & bz \end{matrix}; \frac{c}{b} \right)$$

↙ 2nd iterate of Heine's transformation

$$= \frac{(c/b)_\infty (bz)_\infty}{(c)_\infty (z)_\infty} {}_2\phi_1 \left(\begin{matrix} abz & b \\ c & bz \end{matrix}; \frac{c}{b} \right) \rightarrow (\star\star)$$

Proof of (\star) :

Note that

$$\sum_{n=0}^{\infty} \frac{z^n q^{n(n+1)/2}}{(zq)_n} = \lim_{\tau \rightarrow 0} {}_2\phi_1 \left(\begin{matrix} -q/\tau & q \\ zq & \tau z \end{matrix} \right)$$

(because $\lim_{\tau \rightarrow 0} \left(\frac{-q}{\tau} \right)_n z^n = \lim_{\tau \rightarrow 0} \left(1 + \frac{q}{\tau} \right) \left(1 + \frac{q^2}{\tau^2} \right) \dots \left(1 + \frac{q^n}{\tau^n} \right) z^n = q^{n(n+1)/2}$)

$$= \lim_{z \rightarrow 0} \frac{(z)_\infty (qz)_\infty}{(zq)_\infty (z/z)_\infty} {}_2\phi_1 \left(\begin{matrix} -q, q \\ zq \end{matrix}; z \right)$$

(from ★★)

$$= \frac{(z)_\infty}{(zq)_\infty} \sum_{n=0}^{\infty} (-q)_n z^n$$

$$= (1-z) \sum_{n=0}^{\infty} (-q)_n z^n$$

$$= \sum_{n=0}^{\infty} (-q)_n z^n - \sum_{n=0}^{\infty} (-q)_n z^{n+1}$$

$$= 1 + \sum_{n=1}^{\infty} (-q)_n z^n - \sum_{n=1}^{\infty} (-q)_{n-1} z^n$$

$$= 1 + \sum_{n=1}^{\infty} (-q)_{n-1} z^n (1 + q^n - 1)$$

$$= 1 + \sum_{n=1}^{\infty} (-q)_{n-1} z^n q^n$$

$$= 1 + \sum_{n=0}^{\infty} (-q)_n z^{n+1} q^{n+1}$$

We now use the reciprocity theorem of Ramanujan:

$$p(a, b) := \left(1 + \frac{1}{b}\right) \sum_{n=0}^{\infty} \frac{(-1)^n q^{n(n+1)/2} a^n b^{-n}}{(-aq)_n}$$

Then

$$p(a, b) - p(b, a) = \left(\frac{1}{b} - \frac{1}{a}\right) \frac{\left(\frac{aq}{b}\right)_{\infty} \left(\frac{bq}{a}\right)_{\infty} (q)_{\infty}}{(-aq)_{\infty} (-bq)_{\infty}}$$

Let $a = -z$ and $b = 1$ so that

$$p(-z, 1) - p(1, -z) = \left(1 + \frac{1}{z}\right) \frac{(-zq)_{\infty} \left(-\frac{q}{z}\right)_{\infty} (q)_{\infty}}{(zq)_{\infty} (-q)_{\infty}}$$

$$= \frac{(-zq)_{\infty} \left(-\frac{1}{z}\right)_{\infty} (q)_{\infty}}{(zq)_{\infty} (-q)_{\infty}}$$

$$\Rightarrow p(1, -z) = p(-z, 1) - \frac{(-zq)_{\infty} \left(-\frac{1}{z}\right)_{\infty} (q)_{\infty}}{(zq)_{\infty} (-q)_{\infty}}$$

Now divide both sides by $1 - z^{-1}$ & let $z \rightarrow 1$.

Note that

$$\lim_{z \rightarrow 1} \frac{p(1, -z)}{1 - z^{-1}} = \lim_{z \rightarrow 1} \frac{\left(1 - \frac{1}{z}\right) \sum_{n=0}^{\infty} \frac{(-1)^n q^{n(n+1)/2} (-z)^{-n}}{(-q)_n}}{1 - z^{-1}}$$

$$= \sum_{n=0}^{\infty} \frac{q^{n(n+1)/2}}{(-q)_n} = \sigma(q).$$

Let

$$f(z) := p(-z, 1) - \frac{(-zq)_\infty (-\frac{1}{z})_\infty (q)_\infty}{(zq)_\infty (-q)_\infty}.$$

Then we claim that

$$\lim_{z \rightarrow 1} f(z) = 0.$$

(Proof of the claim)

$$\lim_{z \rightarrow 1} f(z) = \lim_{z \rightarrow 1} \left\{ 2 \sum_{n=0}^{\infty} \frac{(-1)^n q^{n(n+1)/2} (-z)^n}{(zq)_n} - \frac{(-zq)_\infty (-\frac{1}{z})_\infty (q)_\infty}{(zq)_\infty (-q)_\infty} \right\}$$

$$= 2 \sum_{n=0}^{\infty} \frac{q^{n(n+1)/2}}{(q)_n} - 2 \frac{(-q)_\infty^2 (q)_\infty}{(q)_\infty (-q)_\infty}$$

$$= 2 \left(\sum_{n=0}^{\infty} \frac{q^{n(n+1)/2}}{(q)_n} - (-q)_\infty \right)$$

$$= 0 \quad \left(\text{by a cor. of } q\text{-binomial thm; also it can be proved by noting that} \right.$$

both exprs. are the g.f.'s of the number of ptns. of a positive integer into distinct parts.)

Hence $\frac{f(z)}{1-z^{-1}}$ is of the form $\frac{0}{0}$ (when $z=1$).

So by L'Hôpital's rule,

$$\lim_{z \rightarrow 1} \frac{f(z)}{1-z^{-1}} = \lim_{z \rightarrow 1} \frac{f'(z)}{z^{-2}} = f'(1) = \varepsilon(f(z)),$$

$$\Rightarrow \sigma(q)$$

$$= \varepsilon \left(2 \sum_{n=0}^{\infty} \frac{z^n q^{\frac{n(n+1)}{2}}}{(zq)_n} - \frac{(-zq)_{\infty} \left(-\frac{1}{z}\right)_{\infty} (q)_{\infty}}{(zq)_{\infty} (-q)_{\infty}} \right)$$

$$= 2\varepsilon \left(\sum_{n=0}^{\infty} \frac{z^n q^{\frac{n(n+1)}{2}}}{(zq)_n} \right)$$

$$\lim_{z \rightarrow 1} \left[\frac{\frac{d}{dz} \left((-zq)_{\infty} \left(-\frac{1}{z}\right)_{\infty} (q)_{\infty} \right)}{(zq)_{\infty} (-q)_{\infty}} + \frac{d}{dz} \left(\frac{1}{(zq)_{\infty} (-q)_{\infty}} \right) (-zq)_{\infty} \left(-\frac{1}{z}\right)_{\infty} (q)_{\infty} \right]$$

$$= 2\varepsilon \left(\sum_{n=0}^{\infty} \frac{z^n q^{\frac{n(n+1)}{2}}}{(zq)_n} \right)$$

$$- \lim_{z \rightarrow 1} \left\{ \frac{\frac{d}{dz} \sum_{n=0}^{\infty} z^n q^{\frac{n(n+1)}{2}}}{(q)_{\infty} (-q)_{\infty}} + 2 \frac{(-q)_{\infty}^2 (q)_{\infty}}{\times \lim_{z \rightarrow 1} \frac{d}{dz} \left(\frac{1}{(zq)_{\infty} (-q)_{\infty}} \right)} \right\}$$